

05/22/01

31060 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

09792909-5025

First Named Inventor or Application Identifier

HIROKI KIKUCHI

Express Mail Label No: EL370090315US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 47
2. ☒ Drawing(s) (35USC 113) Total Pages 16
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
- [Note Box 4 Below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
SONY CORPORATION
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,  
Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No.  
P2000-155403; filed May 25, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional Continuation-in-part (CIP) ☐ of prior application No: .

## CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS 20	7	0	\$18	0
INDEPENDENT CLAIMS 03	2	0	80	0
ANY MULTIPLE DEPENDENT CLAIMS?				
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
TOTAL FEE				\$710

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$710 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger, Esq.  
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SIGNATURE: David R. Metzger

(No. 32,919)

DATE: May 22, 2001

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09/862557

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May 22, 2001

Assistant Commissioner of Patents  
Washington, D.C. 20231

Re: New U.S. Application for Letters Patent  
Title: FOCUSING CONTROL MECHANISM, AND INSPECTION  
APPARATUS USING SAME  
Applicant: Kiroki Kikuchi  
Attorney Docket No: 09792909-5025

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 7 claims (2 independent), 16 sheets of drawings (Figs. 1-16), Executed Declaration and Power of Attorney, Executed Assignment, Recordation Form, Recordation Filing Fee \$40.00 and the filing fee of \$710.00 on behalf of

HIROKI KIKUCHI

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

David R. Metzger

Enclosures

09792909-5025

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Hiroki Kikuchi

ATTORNEY DOCKET NO:

09792909-5025

SERIAL NO.

GROUP ART UNIT:

FILING DATE:

EXAMINER:

INVENTION: "FOCUSING CONTROL MECHANISM, AND INSPECTION  
APPARATUS USING SAME"

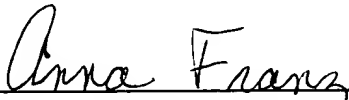
**EXPRESS MAIL CERTIFICATE OF MAILING**

Express Mail" Mailing Label Number EL370090315US

Date of Deposit May 22, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231:

1. Utility Patent Application Transmittal
2. Filing Fee \$710
3. Specification (47)
4. Drawings (16 sheets)
5. Declaration and Power of Attorney (Executed)
6. Assignment (Executed)
7. Recordation Form
8. Recordation Fee \$40.00
9. Certified Copy of Japanese priority application no. P2000-155403
10. Letter Under 37 CFR 1.41 (c)
11. Certificate of Express Mailing No: EL370090315US
12. Postcard
13. Preliminary Amendment



Signature of Person Mailing Application

09792909-5025